

**April 15, 2026**

To,  
**BSE Limited (“BSE”)**  
Phiroze Jeejeebhoy Towers  
Dalal Street,  
Mumbai 400 001  
BSE Scrip Code: **543712**

To,  
**National Stock Exchange of India Limited (“NSE”)**  
The Listing Department,  
Exchange Plaza, Bandra - Kurla Complex,  
Bandra (East), Mumbai – 400051  
NSE Symbol: **AFSL**

**Subject : Certificate under Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 for the quarter ended March 31, 2026**

Dear Sir/ Ma’am,

Pursuant to Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, please find attached Reconciliation of Share Capital Audit Report dated April 15, 2026 issued by Mr. Mitesh Dhaliwala (FCS No: 8331 CP No: 9511), from M/s. Parikh & Associates, Company Secretaries, for the quarter ended March 31, 2026.

The above information is available on the website of the Company i.e. [www.abansfinserv.com](http://www.abansfinserv.com)

We request you to take the same on record.

**For Abans Financial Services Limited**  
**(Formerly known as Abans Holdings Limited)**

**Bhargavi Halapeti**  
**Company Secretary & Compliance Officer**  
**Membership No.: A23955**

*Encl.: a/a*

**Abans Financial Services Limited**

(Formerly known as Abans Holdings Limited)

Regd. Office: 36, 37, 38A, Floor-3, Nariman Bhavan, Backbay Reclamation, Nariman Point, Mumbai-400021.

CIN: L74900MH2009PLC231660 Tel: +91 22 68170100 Fax: 022 61790010

Email ID: [compliance@abansfinserv.com](mailto:compliance@abansfinserv.com) Website: [www.abansfinserv.com](http://www.abansfinserv.com)



To

**Abans Financial Services Limited**  
**(Formerly Abans Holdings Limited)**  
36, 37, 38A, Floor 3, Nariman Bhavan,  
Backbay Reclamation,  
Nariman Point, Mumbai- 400021

Dear Sirs,

We have examined the relevant books, registers, forms, documents and papers produced before us electronically by **Abans Financial Services Limited (Formerly Abans Holdings Limited)** ('the Company') and its Registrar and Share Transfer Agent (RTA), Purva Shareregistry (India) Private Limited., in respect of Reconciliation of Share Capital Audit as per Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018. To the best of our knowledge and according to the information and explanations given to us, we certify the following:

#### **RECONCILIATION OF SHARE CAPITAL AUDIT REPORT**

|    |   |  |
|----|---|--|
| 1. | For Quarter Ended:  | March 31, 2026   |
| 2. | ISIN:   | INE00ZE01026   |
| 3. | Face Value:   | ₹ 2/- per share  |
| 4. | Name of the Company:  | Abans Financial Services Limited<br>(Formerly Abans Holdings Limited)                          |
| 5. | Registered Office Address:  | 36, 37, 38A, Floor 3, Nariman Bhavan,<br>Backbay Reclamation, Nariman Point,<br>Mumbai- 400021 |
| 6. | Correspondence Address:   | 36, 37, 38A, Floor 3, Nariman Bhavan,<br>Backbay Reclamation, Nariman Point,<br>Mumbai- 400021 |
| 7. | Telephone & Fax Nos:  | Tel. No. : 022 6179 0000<br>Fax No. : 022 6179 0010  |
| 8. | E-mail Address:   | <a href="mailto:compliance@abansfinserv.com">compliance@abansfinserv.com</a>                   |
| 9. | Names of the Stock Exchanges where the Company's securities are listed: | BSE Limited<br>National Stock Exchange of India Limited  |

|     |  | Number of Shares | % of Total Issued Capital |
|-----|--|------------------|---------------------------|
| 10. | Issued Capital   | 5,06,58,840      | 100.000                   |
| 11. | Listed Capital (Exchange-wise)<br>(as per Company records)       |                  |                           |
|     | BSE Limited  | 5,06,58,840      | 100.000                   |
|     | National Stock Exchange of India Limited                         | 5,06,58,840      | 100.000                   |
| 12. | Held in dematerialised form in CDSL                              | 3,81,99,002      | 75.404                    |
| 13. | Held in dematerialised form in NSDL                              | 1,12,63,888      | 22.235                    |
| 14. | Physical   | 11,95,950        | 2.361                     |
| 15. | Total No. of shares (12+13+14)                                   | 5,06,58,840      | 100.000                   |
| 16. | Reasons for difference if any, between (10&11), (10&15), (11&15) | N.A              |                           |

17. Certifying the details of changes in share capital during the quarter under consideration as per table below:

| Particulars*** | No. of shares | Applied / Not Applied for listing | Listed on Stock Exchanges (Specify Names) | Whether intimated to CDSL | Whether intimated to NSDL | In prin. appr. pending for SE (Specify Names) |
|----------------|---------------|-----------------------------------|---|---------------------------|---------------------------|---|
| No Change      |               |                                   |   |                           |                           |   |

\*\*\* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture (if any other specify

|     |   |       |
|-----|---|-------|
| 18. | Register of Members is updated (Yes/No)   | Yes   |
|     | If not, updated upto which date   | N. A. |
| 19. | Reference of previous quarter with regards to excess dematerialized shares, if any.                             | N. A. |
| 20. | Has the company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why? | N. A. |

21. Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

| Total No. of demat requests   | No. of requests | No. of shares | Reasons for delay |
|-------------------------------|-----------------|---------------|-------------------|
| Confirmed after 21 days       | Nil             | Nil           | Not Applicable    |
| Pending for more than 21 days | Nil             | Nil           | Not Applicable    |

|     |  |  |
|-----|--|--|
| 22. | Name, Telephone & Fax No. of the Company Secretary and Compliance Officer of the Company                                   | Ms. Bhargavi Halapeti<br>Company Secretary & Compliance Officer<br>Membership No.: ACS 23955<br><br>Tel. No.: 022 6179 0000<br>Fax No. : 022 6179 0010   |
| 23. | Name, Address, Tel. & Fax No., Regn. No. of the CA/CS  | Mr. Mitesh Dhaliwala<br>Parikh & Associates<br>FRN : P1988MH009800<br>111,11th Floor, Sai Dwar CHS Ltd.,<br>Sab TV Lane, Opp. Laxmi Industrial Estate,<br>Off Link Road, Andheri (West),<br>Mumbai – 400053, Maharashtra<br>Tel. Nos.: +91 22 26301232/+91 22 26301233<br>FCS. No.: 8331 CP No.: 9511<br>PR No.: 7327/2025           |
| 24. | Appointment of common agency for share registry work (if yes, name and address)  | Purva Sharegistry (India) Pvt. Ltd.<br>SEBI Registration No.: INR000001112<br>Unit No. 9, Shiv Shakti Industrial Estate, J. R.<br>Boricha Marg, Opposite Lodha Excelus, Lower<br>Parel (East), Mumbai 400 011<br>Tel .No. + 91 22 49614132/31998810<br>Email Id – <a href="mailto:support@purvashare.com">support@purvashare.com</a> |
| 25. | Any other detail that the CA/CS may like to provide (e.g. BIFR Company, delisting from SE, company changed its name, etc.) | --   |

Place: Mumbai  
Date: April 15, 2026

Signature:

**For Parikh & Associates**  
Company Secretaries

MITESH DILIP  
DHABLIWAL  
A

Digitally signed by MITESH DILIP DHABLIWALA  
DN: c=IN, o=Personal,  
2.5.4.20=93DFE8136C49CA2DD48D081E0A3  
248CCD0A8397AE00205AC7CB12D6856887F  
9, postalCode=400056, st=Maharashtra,  
serialNumber=276A7AE95C804FA7001EBCF53  
A8EDC0272653D680558851E40027F5756775  
FA, cn=MITESH DILIP DHABLIWALA  
Date: 2026.04.15 10:56:48 +05'30'

Mitesh Dhaliwala  
FCS No: 8331 CP No: 9511  
UDIN: F008331H000100819